PATENT APPLICATION

10/534226 JC17 Rec'd PCT/PTO 0 6 MAY 2005 IN THE UNITED STATES PATENT & TRADE

In re application of)
Applicant: Horn et al.) }
Title: Systems for Gene Targeting and Producing Stable Genomic Transgene Insertions))) Group Art Unit:
Serial No.: Docket No.: 0003.04 Filed: Concurrently herewith	Examiner:

DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. 1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. 1.56 Applicants herewith submit documents believed to be relevant to the above-identified patent application. The documents are listed on form PTO-1449 attached hereto. A copy of each document which is not a U.S. Patent, is enclosed.

This Disclosure Statement is not to be construed as a representation that: (I) a search has been made; (ii) additional information material to the examination of this application does not exist; or (iii) the above information constitutes prior art in the subject invention.

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REFERENCES

- 1. U.S. Patent No. 6,287,863 B1, issued 09/11/01.
- 2. U.S. Patent No. 6,218,185 B1, issued 04/17/01
- 3. U.S. Patent No. 6,551,825 B1, issued 04/22/03.
- 4. U.S. Patent No. 6,773,914 B1, issued 08/10/04.
- 5. Published U.S. Patent Application 2002/0094575 A1, published 07/18/02.
- 6. Published International Application WO 01/66717 A2, published 09/13/01.

Respectfully submitted.

Registration No. 32,685

Pendorf & Cutliff

5111 Memorial Highway Tampa, Florida 33634-7856

Enclosures PTO-SB/08A (1 sheet) 2 References

cc:

C. Horn

A. Handler

CERTIFICATION OF MAILING AND AUTHORIZATION TO CHARGE

I hereby certify that the foregoing INFORMATION DISCLOSURE STATEMENT Form PTO/SB/08A, including seven (6)documents, for U.S. Application No. filed herewith, were deposited in first class U.S. mail, with sufficient postage, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 6, 2005.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account No. 16-0877.

Stephan A. Pendorf

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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0.6 MAY 2005 JC47 Reced PCT/PTO Substitute for form 1449/PTO Application Number PCT/US03/35587 Filing Date 11/07/2003

INFORMATION DISCLOSURE		11/01/2000
	First Named Inventor	Horn, Carsten
STATEMENT BY APPLICANT	Art Unit	
(Use as many sheets as necessary)	Examiner Name	
Sheet 1 of 1	Attorney Docket Number	0003.04

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 6,287,863 B1	09-11-2001	Hodgson	Entire Document
		^{US-} 6,218,185 B1	04-17-2001	Shirk et al.	Entire Document
		^{US-} 6,551,825 B1	04-22-2003	Shirk et al.	Entire Document
		^{US-} 6,773,914 B1	08-10-2004	Handler	Entire Document
		^{US-} 2002/0094575A1	07-18-2002	Pioneer Hi-Bred	Entire Document
		US-			
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		US-			
		US-			

	_	FOREI	GN PATENT DOC	JMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	т6
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-1111		Of Relevant Figures Appear	Γ,
		WO 01/66717 A2	09-13-2001	Univ. of Utah	Entire Document	

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Examiner Signature	-		Date Considered		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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